

03-24-00

PD A0000259-03EJF

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
NEW APPLICATION TRANSMITTAL

JC781 U.S. PTO  
03/23/00

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

JC511 U.S. PTO  
09/535005  
03/23/00

Transmitted herewith for filing is the patent application of inventor(s):

William S. Bess, Neema Kulkarni, Suhas H. Ambike and Michael P. Ramsay

For (title): FAST DISSOLVING ORALLY CONSUMABLE FILMS  
CONTAINING AN ION EXCHANGE AS A TASTE MASKING AGENT

Enclosed please find:

1. ☒ SPECIFICATION INCLUDING CLAIMS

☐ which is a continuation/continuation-in-Part/Divisional

☐ pursuant to 37 C.F.R. § 1.60; and I hereby verify that the attached papers are a true copy of what is shown in my records to be the below-identified prior application, including the oath or declaration originally filed.

☐ pursuant to 37 C.F.R. § 1.62; and in the case of a continuation-in-part which adds and claims additional disclosure, an oath or declaration as required by § 1.63 is also included herewith.

☐ This application claims benefit pursuant to 35 U.S.C. § 119(e) of prior U.S. application

**CERTIFICATION PURSUANT TO 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date in an envelope bearing "Express Mail Post Office to Addressee" Mailing Number EK603101628US addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Mary M. Helmken

(Typed or printed name of person mailing)

Mary M. Helmken 3/23/00  
(Signature of person mailing papers, Date)

☐ This application claims benefit pursuant to 35 U.S.C. § 120 of prior foreign application filed \_\_\_\_\_ in \_\_\_\_\_ as application no. \_\_\_\_\_. A certified copy of the original foreign application, specification and drawings

☒ is enclosed

☐ will follow during the pendency of this application.

This application includes:

39 Pages of Specification (including the title page)  
 1 Page of Abstract  
 5 Page(s) of Claims  
 0 Sheet of Drawing figures

2. ☐ **AMENDMENTS**

☐ Please enter any amendments filed under 37 C.F.R. § 1.116 after the final rejection or action (§1.113) amendments in the parent, to the above-captioned application.

☐ A preliminary amendment pursuant to 37 C.F.R. § 1.115 is attached.

☐ Amend the specification by inserting before the first line the sentence:

This is a ☐ continuation  
☐ continuation-in-part  
☐ divisional

of copending application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.

☐ Amendments to claims:

☐ Amend the claims by deleting claims .

☐ Amend the claims by adding new claims \_\_ set forth in the preliminary amendment.

3. ☒ **COMBINED DECLARATION AND POWER OF ATTORNEY**

☐ Enclosed.

☒ Not enclosed. This application is made by a person authorized pursuant to 37 C.F.R. § 1.41(c) on behalf of all of the above-named inventors. The

declaration or oath, along with the surcharge required by 37 C.F.R. § 1.16(e) will be filed subsequently.

4. ☐ **DRAWINGS.** Drawings pursuant to 37 C.F.R. § 1.81.

☐ formal.  
☐ informal.

5. ☒ **FILING FEE CALCULATED:**

CLAIMS AS FILED				
	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$ 690.00
Total Claims	27- 20	= 7 x	\$ 22.00	\$ 154.00
Independent Claims	1 - 3	= 0 x	\$ 78.00	\$ 0.00
Multiple dependent claim(s), if any			\$ 250.00	\$ 0.00
Assignment Recording Fee			\$ 40.00	\$ 0.00
TOTAL				\$ 844.00

☐ Not enclosed.

☐ No filing fee is to be paid at this time. The filing fee and the surcharge required by 37 C.F.R. §1.16(e) will be paid subsequently.

☐ Filing fee of \$0.00

☐ Processing and retention fee \$ 000.00  
 (37 C.F.R. §§ 1.53(d) and 1.21(l))

TOTAL FEES **\$844.00**

☒ Charge Deposit Account 23-0458 in the amount of \$844.00.

6. ☒ **AN ASSIGNMENT** of this invention to Warner-Lambert Company,  
 201 Tabor Road, Morris Plains, New Jersey 07950:

☐ Enclosed.  
☒ will follow.

7. ☒ **AUTHORIZATION TO CHARGE ADDITIONAL FEES.** The Commissioner is hereby authorized to charge all fees required during the entire pendency of this application except the issue fee to Deposit Account 23-0450.

8. ☒ **INSTRUCTIONS AS TO OVERPAYMENT** Credit any overpayment to Deposit Account 23-0458.
9. ☐ **ABANDONMENT OF PRIOR APPLICATION.** Please abandon the prior application referred to above at a time (1) while the prior application is pending or when the petition for extension of time in that application is granted and (2) when this application is granted a filing date so that this application is copending with the prior application.
10. ☒ **INFORMATION DISCLOSURE STATEMENT.** In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, an Information Disclosure Statement, a Form PTO-1449, and copies of references are submitted herewith.

Respectfully submitted,

Date: 3/23/00



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FAST DISSOLVING ORALLY CONSUMABLE FILMS CONTAINING  
AN ION EXCHANGE RESIN AS A TASTE MASKING AGENT

SPECIFICATION

5

FIELD OF THE INVENTION

This invention relates to fast dissolving orally consumable films containing an agent to mask the taste of a pharmaceutically active agent therein, and more specifically to such films containing an ion exchange resin as the taste masking agent.

10

BACKGROUND OF THE INVENTION

It has been known to administer pharmaceutically active agents in an edible film vehicle.

For example, WO 99/17753 discloses rapidly dissolving films for delivery of drugs to be adsorbed in the digestive tract.

15

WO 98/26780 discloses a flat, foil, paper or wafer type presentation for the application and release of active substances in the buccal cavity. The specific active ingredient disclosed in WO 98/26780 is buprenorphine.

WO 98/20862 discloses a film for use in the oral cavity that can contain a cosmetic or pharmaceutical active substance.

20

WO 98/26763 discloses a flat, foil, paper or wafer like presentation for release of active substances into the buccal cavity. The particular active disclosed is apomorphine.

U.S. Patent Application No. 09/395,104 also discloses the delivery of pharmaceutical agents in a edible film vehicle.

25

U.S. Patent No. 5,411,945 to Ozaki et al. discloses a pullulan binder and products produced therewith, including edible films (Example B-2). The products can include a variety of ingredients in addition to pullulan, such as other polysaccharides, antibacterial agents, flavor-imparting agents and pharmaceutically active substances (column 4, lines 5-15).

U.S. Patent No. 3,784,390 Hijiya et al. discloses pullulan films and their use in coating and packing materials for foods, pharmaceuticals and other oxygen sensitive materials. All of the examples in this patent teach mixing pullulan in hot water.

5 It has also been known to combine ion exchange resins with pharmaceutically active agents to provide sustained release formulations.

For example, U.S. Patent No. 6,001,392 to Wen et al. discloses a controlled-release syrup suspension for oral administration containing dextromethorphan adsorbed to a polystyrene sulfonate ion exchange resin.

10 Pharmaceutical films are not disclosed.

U.S. Patent No. 5,980,882 to Eichman discloses a method for improving the stability of a pharmaceutical composition that contains a drug-resin complex, comprising adding a chelating agent in an amount effective to reduce the rate of degradation of the drug in the drug-resin complex. Although  
15 Eichman teaches that complexing a drug with an ion exchange resin can mask the taste of the drug. Pharmaceutical films are not disclosed.

The inventors are not aware of any suggestion in the published art that ion exchange resins can act as taste masking agents in a fast dissolving orally consumable film. Accordingly, an object of this invention is to provide fast  
20 dissolving orally consumable films containing an ion exchange resin to mask the taste of a pharmaceutically active agent therein.

All references cited herein are incorporated herein by reference in their entireties.

#### SUMMARY OF THE INVENTION

25 The invention provides a consumable film adapted to adhere to and dissolve in a mouth of a consumer, wherein the film comprises at least one water soluble polymer, at least one pharmaceutically active agent and at least one taste masking agent.

Also provided is a method for preparing the consumable film of the invention, comprising:

dissolving water-soluble ingredients in water to provide an aqueous solution;

5 mixing at least one water soluble film former and at least one stabilizing agent to provide a film-forming mixture;

combining the film-forming mixture and the aqueous solution to provide a hydrated polymer gel;

mixing oils to form an oil mixture;

10 adding the oil mixture to the hydrated polymer gel and mixing to provide a uniform gel;

casting the uniform gel on a substrate; and

drying the cast gel to provide the film.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

15 The invention provides a physiologically acceptable film that is particularly well adapted to adhere to and dissolve in a mouth of a consumer to deliver a pharmaceutically active agent. Preferred films according to the invention comprise a pharmaceutically active agent, an ion exchange resin, a film-forming agent, and at least one of the following additional ingredients:

20 water, antimicrobial agents, plasticizing agents, flavoring agents, saliva stimulating agents, cooling agents, surfactants, stabilizing agents, emulsifying agents, thickening agents, binding agents, coloring agents, sweeteners, fragrances, triglycerides, preservatives, polyethylene oxides, propylene glycol, and the like.

25 The expression "physiologically acceptable" as used herein is intended to encompass compounds, which upon administration to a patient, are adequately tolerated without causing undue negative side effects. The expression encompasses edible compounds.

The expression "pharmaceutically active agents" as used herein is intended to encompass agents other than foods, which promote a structural and/or functional change in and/or on bodies to which they have been administered. These agents are not particularly limited; however, they should be physiologically acceptable and compatible with the film. Suitable pharmaceutically active agents include, but are not limited to:

A. antimicrobial agents, such as triclosan, cetyl pyridium chloride, domiphen bromide, quaternary ammonium salts, zinc compounds, sanguinarine, fluorides, alexidine, octonidine, EDTA, and the like;

B. non-steroidal anti-inflammatory drugs, such as aspirin, acetaminophen, ibuprofen, ketoprofen, diflunisal, fenoprofen calcium, naproxen, tolmetin sodium, indomethacin, and the like;

C. anti-tussives, such as benzonatate, caramiphen edisylate, menthol, dextromethorphan hydrobromide, chlophedianol hydrochloride, and the like;

D. decongestants, such as pseudoephedrine hydrochloride, phenylephrine, phenylpropanolamine, pseudoephedrine sulfate, and the like;

E. anti-histamines, such as brompheniramine maleate, chlorpheniramine maleate, carbinoxamine maleate, clemastine fumarate, dexchlorpheniramine maleate, diphenhydramine hydrochloride, diphenylpyraline hydrochloride, azatadine maleate, diphenhydramine citrate, doxylamine succinate, promethazine hydrochloride, pyrilamine maleate, tripelemnamine citrate, triprolidine hydrochloride, acrivastine, loratadine, brompheniramine, dexbrompheniramine, and the like;

F. expectorants, such as guaifenesin, ipecac, potassium iodide, terpin hydrate, and the like;

G. anti-diarrheals, such as loperamide, and the like;

H. H<sub>2</sub>-antagonists, such as famotidine, ranitidine, and the like;



I. proton pump inhibitors, such as omeprazole, lansoprazole, and the like;

J. general nonselective CNS depressants, such as aliphatic alcohols, barbiturates and the like;

5 K. general nonselective CNS stimulants such as caffeine, nicotine, strychnine, picrotoxin, pentylenetetrazol and the like;

L. drugs that selectively modify CNS function, such as phenyhydantoin, phenobarbital, primidone, carbamazepine, ethosuximide, methsuximide, phensuximide, trimethadione, diazepam, benzodiazepines, phenacemide, pheneturide, acetazolamide, sulthiame, bromide, and the like;

10 M. antiparkinsonism drugs such as levodopa, amantadine and the like;

N. narcotic-analgesics such as morphine, heroin, hydromorphone, metopon, oxymorphone, levorphanol, codeine, hydrocodone, xycodone, nalorphine, naloxone, naltrexone and the like;

15 O. analgesic-antipyretics such as salicylates, phenylbutazone, indomethacin, phenacetin and the like; and

P. psychopharmacological drugs such as chlorpromazine, methotrimeprazine, haloperidol, clozapine, reserpine, imipramine, tranlycypromine, phenelzine, lithium and the like.

20 The amount of pharmaceutically active agent that can be used in the rapidly dissolving films, according to the present invention, is dependent upon the dose needed to provide an effective amount of the pharmaceutically active agent. Examples of doses for specific pharmaceutically active agents that can be delivered per one strip of rapidly dissolving oral film are reviewed in Table A.

TABLE A

	<u>PHARMACEUTICALLY ACTIVE AGENT</u>	<u>PREFERRED DOSE</u>
	Chlorpheniramine Maleate	4 mg.
5	Brompheniramine Maleate	4 mg.
	Dexchlorpheniramine	2 mg.
	Dexbrompheniramine	2 mg.
	Triprolidine Hydrochloride	2.5 mg.
	Acrivastine	8 mg.
10	Azatadine Maleate	1 mg.
	Loratidine	10 mg.
	Phenylephrine Hydrochloride	10 mg.
	Dextromethorphan Hydrobromide	10-30 mg.
	Ketoprofen	12.5-25 mg.
15	Sumatriptan Succinate	35 - 70 mg.
	Zolmitriptan	2.5 mg.
	Loperamide	2 mg.
	Famotidine	10 mg.
	Nicotine	2 mg.
20	Diphenhydramine Hydrochloride	12.5-25 mg.
	Pseudoephedrine Hydrochloride	30 mg.

Ion exchange resins preferred for use in the films of the invention are water-insoluble and consist of a pharmacologically inert organic or inorganic matrix containing covalently bound functional groups that are ionic or capable of being ionized under the appropriate conditions of pH. The organic matrix may be synthetic (e.g., polymers or copolymers of acrylic acid, methacrylic acid, sulfonated styrene, sulfonated divinylbenzene), or partially synthetic (e.g., modified cellulose and dextrans). The inorganic matrix can also be, e.g., silica gel modified by the addition of ionic groups. The covalently bound ionic groups may be strongly acidic (e.g., sulfonic acid), weakly acidic (e.g., carboxylic acid), strongly basic (e.g., quaternary ammonium), weakly basic (e.g., primary amine), or a combination of acidic and basic groups. In general, those types of ion exchangers suitable for use in ion exchange chromatography and for such applications as deionization of water are suitable for use in these

controlled release drug preparations. Such ion exchangers are described by H. F. Walton in "Principles of Ion Exchange" (pp. 312-343). The ion exchange resins useful in the present invention have exchange capacities below about 6 milliequivalents per gram (meq/g) and preferably below about 5.5 meq/g.

5       The resin is crosslinked with a crosslinking agent selected from difunctional compounds capable of crosslinking polystyrenes; these are commonly known in the art. Preferably, the crosslinking agent is a divinyl or polyvinyl compound. Most preferably the crosslinking agent is divinylbenzene. The resin is crosslinked to an extent of about 3 to about 20%, preferably about  
10   4 to about 16%, more preferably about 6 to about 10%, and most preferably about 8% by weight based on the total resin. The resin is crosslinked with the crosslinking agent by means well known in the art.

      The size of the ion exchange resins should preferably fall within the range of about 20 to about 200 micrometers. Particle sizes substantially below  
15   the lower limit are difficult to handle in all steps of the processing. Particle sizes substantially above the upper limit, e.g., commercially available ion exchange resins having a spherical shape and diameters up to about 1000 micrometers, are gritty in liquid dosage forms and have a greater tendency to fracture when subjected to drying-hydrating cycles.

20       Representative resins useful in this invention include AMBERLITE IRP-69 (obtained from Rohm and Haas) and Dow XYS-40010.00 (obtained from The Dow Chemical Company). Both are sulfonated polymers composed of polystyrene cross-linked with 8% of divinylbenzene, with an ion exchange capacity of about 4.5 to 5.5 meq/g of dry resin (H<sup>+</sup>-form). Their essential  
25   difference is in physical form. AMBERLITE IRP-69 comprises irregularly-shaped particles with a size range of 47 to 149 micrometers, produced by milling the parent, large-sized spheres of AMBERLITE IRP-120. The Dow XYS-40010.00 product comprises spherical particles with a size

range of 45 to 150 micrometers. Another useful exchange resin, Dow  
XYS-40013.00, is a polymer composed of polystyrene cross-linked with 8% of  
divinylbenzene and functionalized with a quaternary ammonium group; its  
exchange capacity is normally within the range of approximately 3 to 4 meq/g  
of dry resin.

The most preferred resin is AMBERLITE IRP-69. However, in less  
preferred embodiments, the taste masking agent need not be an ion exchange  
resin. In these embodiments, the taste masking agent can be, e.g., magnesium  
trisilicate. See, e.g., U.S. Patents Nos. 4,650,663 and 4,581,232 to Peters et al.  
Taste can also be masked by polymers, such as EUDRAGIT E (Rohm and  
Haas), and/or cellulose, such as ethylcellulose, and the like.

The film-forming agent used in the films according to the present  
invention can be selected from the group consisting of pullulan,  
hydroxypropylmethyl cellulose, hydroxyethyl cellulose, hydroxypropyl  
cellulose, polyvinyl pyrrolidone, carboxymethyl cellulose, polyvinyl alcohol,  
sodium alginate, polyethylene glycol, xanthan gum, tragacanth gum, guar gum,  
acacia gum, arabic gum, polyacrylic acid, methylmethacrylate copolymer,  
carboxyvinyl polymer, amylose, high amylose starch, hydroxypropylated high  
amylose starch, dextrin, pectin, chitin, chitosan, levan, elsinan, collagen,  
gelatin, zein, gluten, soy protein isolate, whey protein isolate, casein and  
mixtures thereof. A preferred film former is pullulan, in amounts ranging from  
about 0.01 to about 99 wt%, preferably about 30 to about 80 wt%, more  
preferably from about 45 to about 70 wt% of the film and even more preferably  
from about 60 to about 65 wt% of the film.

Unless specified otherwise, the term "wt%" as used herein with  
reference to the final product (i.e., the film, as opposed to the formulation used  
to create it), denotes the percentage of the total dry weight contributed by the  
subject ingredient. This theoretical value can differ from the experimental

value, because in practice, the film typically retains some of the water and/or ethanol used in preparation.

In embodiments containing relatively high oil content, it is preferable to avoid substantial amounts of humectant in the film (and more preferable to have no humectant in the film), so as to avoid producing an overly moist, self-adhering film. In particular, it is preferred to formulate high oil content films with a plasticizing agent other than glycerin, which is also a humectant, and with a sweetener other than sorbitol, which is a mild humectant.

Saliva stimulating agents can also be added to the films according to the present invention. Useful saliva stimulating agents are those disclosed in U.S. Patent No. 4,820,506. Saliva stimulating agents include food acids such as citric, lactic, malic, succinic, ascorbic, adipic, fumaric and tartaric acids. Preferred food acids are citric, malic and ascorbic acids. The amount of saliva stimulating agents in the film is from about 0.01 to about 12 wt%, preferably about 1 wt% to about 10 wt%, even more preferably about 2.5 wt% to about 6 wt%.

Preferred plasticizing agents include triacetin in amounts ranging from about 0 to about 20 wt%, preferably about 0 to about 2 wt%. Other suitable plasticizing agents include monoacetin and diacetin.

Preferred cooling agents include monomethyl succinate, in amounts ranging from about 0.001 to about 2.0 wt%, preferably about 0.2 to about 0.4 wt%. A monomethyl succinate containing cooling agent is available from Mane, Inc. Other suitable cooling agents include WS3, WS23, Ultracool II and the like.

Preferred surfactants include mono and diglycerides of fatty acids and polyoxyethylene sorbitol esters, such as, Atmos 300 and Polysorbate 80. The surfactant can be added in amounts ranging from about 0.5 to about 15 wt%, preferably about 1 to about 5 wt% of the film. Other suitable surfactants

include pluronic acid, sodium lauryl sulfate, and the like.

Preferred stabilizing agents include xanthan gum, locust bean gum and carrageenan, in amounts ranging from about 0 to about 10 wt%, preferably about 0.1 to about 2 wt% of the film. Other suitable stabilizing agents include guar gum and the like.

Preferred emulsifying agents include triethanolamine stearate, quaternary ammonium compounds, acacia, gelatin, lecithin, bentonite, veegum, and the like, in amounts ranging from about 0 to about 5 wt%, preferably about 0.01 to about 0.7 wt% of the film.

Preferred thickening agents include methylcellulose, carboxyl methylcellulose, and the like, in amounts ranging from about 0 to about 20 wt%, preferably about 0.01 to about 5 wt%.

Preferred binding agents include starch, in amounts ranging from about 0 to about 10 wt%, preferably about 0.01 to about 2 wt% of the film.

Suitable sweeteners that can be included are those well known in the art, including both natural and artificial sweeteners. Suitable sweeteners include, e.g.:

A. water-soluble sweetening agents such as monosaccharides, disaccharides and polysaccharides such as xylose, ribose, glucose (dextrose), mannose, galactose, fructose (levulose), sucrose (sugar), maltose, invert sugar (a mixture of fructose and glucose derived from sucrose), partially hydrolyzed starch, corn syrup solids, dihydrochalcones, monellin, steviosides, and glycyrrhizin;

B. water-soluble artificial sweeteners such as the soluble saccharin salts, i.e., sodium or calcium saccharin salts, cyclamate salts, the sodium, ammonium or calcium salt of 3,4-dihydro-6-methyl-1,2,3-oxathiazine-4-one-2,2-dioxide, the potassium salt of 3,4-dihydro-6-methyl-1,2,3-oxathiazine-4-one-2,2-dioxide (acesulfame-K), the free acid form of saccharin,

and the like;

C. dipeptide based sweeteners, such as L-aspartic acid derived sweeteners, such as L-aspartyl-L-phenylalanine methyl ester (aspartame) and materials described in U.S. Pat. No. 3,492,131, L- alpha-  
5 aspartyl-N-(2,2,4,4--tetramethyl-3-thietanyl)-D-alaninamide hydrate, methyl esters of L-aspartyl-L-phenylglycerin and L-aspartyl-L-2,5,dihydrophenyl-glycine, L-aspartyl-2,5-dihydro- L-phenylalanine, L-aspartyl-L-(1-cyclohexyen)-alanine, and the like;

D. water-soluble sweeteners derived from naturally occurring  
10 water-soluble sweeteners, such as a chlorinated derivative of ordinary sugar (sucrose), known, for example, under the product description of sucralose; and

E. protein based sweeteners such as thaumatococcus danielli (Thaumatococcus danianus).

In general, an effective amount of auxiliary sweetener is utilized to  
15 provide the level of sweetness desired for a particular composition, and this amount will vary with the sweetener selected. This amount will normally be 0.01 % to about 10 % by weight of the composition when using an easily extractable sweetener. The water-soluble sweeteners described in category A above, are usually used in amounts of about 0.01 to about 10 wt%, and  
20 preferably in amounts of about 2 to about 5 wt%. Some of the sweeteners in category A (e.g., glycyrrhizin) can be used in amounts set forth for categories B-E below due to the sweeteners' known sweetening ability. In contrast, the sweeteners described in categories B-E are generally used in amounts of about 0.01 to about 10 wt%, with about 2 to about 8 wt% being preferred and about 3  
25 to about 6 wt% being most preferred. These amounts may be used to achieve a desired level of sweetness independent from the flavor level achieved from any optional flavor oils used. Of course, sweeteners need not be added to films intended for non-oral administration.

The flavorings that can be used include those known to the skilled artisan, such as natural and artificial flavors. These flavorings may be chosen from synthetic flavor oils and flavoring aromatics, and/or oils, oleo resins and extracts derived from plants, leaves, flowers, fruits and so forth, and combinations thereof. Representative flavor oils include: spearmint oil, cinnamon oil, peppermint oil, clove oil, bay oil, thyme oil, cedar leaf oil, oil of nutmeg, oil of sage, and oil of bitter almonds. Also useful are artificial, natural or synthetic fruit flavors such as vanilla, chocolate, coffee, cocoa and citrus oil, including lemon, orange, grape, lime and grapefruit and fruit essences including apple, pear, peach, strawberry, raspberry, cherry, plum, pineapple, apricot and so forth. These flavorings can be used individually or in admixture. Commonly used flavors include mints such as peppermint, artificial vanilla, cinnamon derivatives, and various fruit flavors, whether employed individually or in admixture. Flavorings such as aldehydes and esters including cinnamyl acetate, cinnamaldehyde, citral, diethylacetal, dihydrocarvyl acetate, eugenyl formate, p-methylanisole, and so forth may also be used. Generally, any flavoring or food additive, such as those described in Chemicals Used in Food Processing, publication 1274 by the National Academy of Sciences, pages 63-258, may be used. Further examples of aldehyde flavorings include, but are not limited to acetaldehyde (apple); benzaldehyde (cherry, almond); cinnamic aldehyde (cinnamon); citral, i.e., alpha citral (lemon, lime); neral, i.e. beta citral (lemon, lime); decanal (orange, lemon); ethyl vanillin (vanilla, cream); heliotropine, i.e., piperonal (vanilla, cream); vanillin (vanilla, cream); alpha-amyl cinnamaldehyde (spicy fruity flavors); butyraldehyde (butter, cheese); valeraldehyde (butter, cheese); citronellal (modifies, many types); decanal (citrus fruits); aldehyde C-8 (citrus fruits); aldehyde C-9 (citrus fruits); aldehyde C-12 (citrus fruits); 2-ethyl butyraldehyde (berry fruits); hexenal, i.e. trans-2 (berry fruits); tolyl aldehyde (cherry, almond); veratraldehyde (vanilla);



2,6-dimethyl- 5-heptenal, i.e. melonal (melon); 2-6-dimethyloctanal (green fruit); and 2-dodecenal (citrus, mandarin); cherry; grape; mixtures thereof; and the like.

The amount of flavoring employed is normally a matter of preference subject to such factors as flavor type, individual flavor, and strength desired. Thus, the amount may be varied in order to obtain the result desired in the final product. Such variations are within the capabilities of those skilled in the art without the need for undue experimentation. In general, amounts of about 0.1 to about 30 wt% are useable with amounts of about 2 to about 25 wt% being preferred and amounts from about 8 to about 10 wt% are more preferred.

The compositions of this invention can also contain coloring agents or colorants. The coloring agents are used in amounts effective to produce the desired color. The coloring agents useful in the present invention, include pigments such as titanium dioxide, which may be incorporated in amounts of up to about 5 wt%, and preferably less than about 1 wt%. Colorants can also include natural food colors and dyes suitable for food, drug and cosmetic applications. These colorants are known as FD&C dyes and lakes. The materials acceptable for the foregoing spectrum of use are preferably water-soluble, and include FD&C Blue No. 2, which is the disodium salt of 5,5-indigotindisulfonic acid. Similarly, the dye known as Green No. 3 comprises a triphenylmethane dye and is the monosodium salt of 4-[4-N-ethyl-p-sulfobenzylamino) diphenyl-methylene]-[1-N-ethyl-N-p-sulfonium benzyl)-2,5-cyclo-hexadienimine]. A full recitation of all FD&C and D&C dyes and their corresponding chemical structures may be found in the Kirk-Othmer Encyclopedia of Chemical Technology, Volume 5, Pages 857-884, which text is accordingly incorporated herein by reference.

The films can also include a triglyceride. Examples of triglycerides include vegetable oils such as corn oil, sunflower oil, peanut oil, olive oil,

canola oil, soybean oil and mixtures thereof. A preferred triglyceride is olive oil. The triglyceride is added to the film in amounts from about 0.1 wt% to about 12 wt%, preferably in a range from about 0.5 wt% to about 9 wt%, of the film.

5           The films can include a preservative in amounts from about 0.001 wt% to about 5 wt%, preferably from about 0.01 wt% to about 1 wt% of the film. Preferred preservatives include sodium benzoate and potassium sorbate. Other suitable preservatives include, but are not limited to, salts of edetate (also known as salts of ethylenediaminetetraacetic acid, or EDTA, such as disodium  
10   EDTA) and parabens (e.g., methyl, ethyl, propyl or butyl-hydroxybenzoates, etc.) or sorbic acid. The preservatives listed above are exemplary, but each preservative must be evaluated on an empirical basis, in each formulation, to assure the compatibility and efficacy of the preservative. Methods for evaluating the efficacy of preservatives in pharmaceutical formulations are  
15   known to those skilled in the art.

          The films can also include a polyethylene oxide compound. The molecular weight of the polyethylene oxide compound ranges from about 50,000 to about 6,000,000. A preferred polyethylene oxide compound is N-10 available from Union Carbide Corporation. The polyethylene oxide compound  
20   is added in amounts from about 0.1 wt% to about 5 wt%, preferably from about 0.2 wt% to about 4.0 wt% of the film.

          The films can also include propylene glycol. The propylene glycol is added in amounts from about 1 wt% to about 20 wt%, preferably from about 5 wt% to about 15 wt% of the film.

25           Methods for preparing films according to the invention are capable of encapsulating the oil ingredients within the film-forming matrix and maintaining the integrity of the film, even when the film contains oils in amounts of 10 wt% or more.

5 In certain methods for preparing films according to the invention, the film-forming ingredients are mixed and hydrated with water separately from the water-soluble ingredients, which are mixed in aqueous solution separately from the organic ingredients and surfactants. In these methods, the final formulation is preferably produced by mixing the film-forming phase with the aqueous phase, then mixing in the organic phase, which includes surfactants, such as Polysorbate 80 and Atmos 300. This mass is mixed until emulsified. In other embodiments, the aqueous and film forming phases are combined into a single phase by dissolving the water soluble ingredients in the water and then adding the gums to hydrate. The organic phase is then added to this single aqueous phase.

15 The resulting formulation is cast on a suitable substrate and dried to form a film. The film is preferably air-dried or dried under warm air and cut to a desired dimension, packaged and stored. The film can contain from about 0.1% to about 10 wt% moisture, preferably from about 3 % to about 8 wt% moisture, even more preferably from about 4 to about 7 wt% moisture.

20 The film-forming phase can include pullulan and stabilizing agents such as xanthan gum, locust bean gum and carrageenan. These ingredients are mixed and then hydrated in water for about 30 to about 48 hours to form a gel. The water is preferably heated to a temperature of about 25 to about 45°C to promote hydration. The amount of water is about 40 to 80% of the gel. The resulting hydrated gel is then chilled to a temperature of about 20 to about 30°C for about 1 to about 48 hours. The water is preferably deionized.

25 In preferred embodiments, the aqueous phase includes water heated to a temperature of about 60 to 90°C, preferably 70 to 80°C, and ingredients such as the pharmaceutically active agent, ion exchange resin (or other masking agent), coloring agent, preservative and sweetener. The water is preferably deionized and the amount of water used is about 5 to about 80 wt% of the final gel

mixture.

The pharmaceutically active agent is sorbed to the ion exchange resin (or other masking agent) without separating ion exchanged pharmaceutically active agent from unexchanged agent and counter ion salts.

5            Adsorption of the pharmaceutically active agent onto the ion exchange resin particles to form the pharmaceutically active agent/resin complex is a well known technique as shown in U.S. Pat. Nos. 2,990,332 and 4,221,778. In general, the pharmaceutically active agent is mixed with an aqueous suspension of the resin, and in less preferred embodiments, the complex is then washed  
10            and dried. Adsorption of pharmaceutically active agent onto the resin may be detected by measuring a change in the pH of the reaction medium, or by measuring a change in concentration of sodium or pharmaceutically active agent.

             Binding of pharmaceutically active agent to resin can be accomplished  
15            according to four general reactions. In the case of a basic pharmaceutically active agent, these are: (a) resin (Na-form) plus pharmaceutically active agent (salt form); (b) resin (Na-form) plus pharmaceutically active agent (as free base); (c) resin (H-form) plus pharmaceutically active agent (salt form); and (d) resin (H-form) plus pharmaceutically active agent (as free base). All of these  
20            reactions except (d) have cationic byproducts, by competing with the cationic pharmaceutically active agent for binding sites on the resin, reduce the amount of pharmaceutically active agent bound at equilibrium. For basic pharmaceutically active agents, stoichiometric binding of pharmaceutically active agent to resin is accomplished only through reaction (d).

25            Four analogous binding reactions can be carried out for binding an acidic pharmaceutically active agent to an anion exchange resin. These are: (a) resin (Cl--form) plus pharmaceutically active agent (salt form); (b) resin (Cl--form) plus pharmaceutically active agent (as free acid); (c) resin

(OH--form) plus pharmaceutically active agent (salt form); and (d) resin (OH--form) plus pharmaceutically active agent (as free acid). All of these reactions except (d) have ionic by-products and the anions generated when the reactions occur compete with the anionic pharmaceutically active agent for binding sites on the resin with the result that reduced levels of pharmaceutically active agent are bound at equilibrium. For acidic pharmaceutically active agents, stoichiometric binding of pharmaceutically active agent to resin is accomplished only through reaction (d). The binding may be performed, for example, as a batch or column process, as is known in the art.

In less preferred embodiments, the adsorption complex, including pharmaceutically active agent and resin, is collected and washed with ethanol and/or water to insure removal of any unadsorbed pharmaceutically active agent. The complexes are usually air-dried in trays at room or elevated temperature.

The ratio of the pharmaceutically active agent adsorbate to ion exchange resin adsorbent in the adsorption complex is about 1:3 to about 3:1, preferably about 1:2 to about 2:1, most preferably about 1:1. The only limit to using ratios in excess of 1:3 is an economic and aesthetic one.

The amount of the pharmaceutically active agent adsorbed to the ion exchange resin is in the range from about 25 to about 75% by weight of the pharmaceutically active agent/resin adsorption complex (hereinafter referred to as the "pharmaceutically active agent/resin complex" or "complex"). More preferably, the amount of the pharmaceutically active agent adsorbed to the ion exchange resin is in the range from about 33 to about 77% by weight of the pharmaceutically active agent/resin complex. Most preferably, the amount of the pharmaceutically active agent adsorbed to the ion exchange resin is in the range from about 40 to about 60% by weight of the pharmaceutically active agent/resin complex.

The amount of pharmaceutically active agent/resin complex in the formulation is adjusted to deliver a predetermined dose of the pharmaceutically active agent over a predetermined period of time.

For example, a preferred antitussive film of the invention is administered at one dose every 12 hours to deliver a pharmaceutically effective amount of dextromethorphan over a period of approximately 12 hours to a patient in need of such administration. A typical adult dose of a film of the invention measuring 1" x 1.25" (2.54 cm x 3.18 cm) weighs about 60 to about 190 mg and contains about 20 to about 130 mg of pharmaceutically active agent/resin complex to deliver about 5 to about 65 mg of pharmaceutically active agent (e.g., dextromethorphan hydrobromide) when the average pharmaceutically active agent:ion exchange resin ratio is about 1:1.

In a particularly preferred embodiment of the invention, pullulan is present in the film in an amount of about 2 to about 6 mg/cm<sup>2</sup>, dextromethorphan is present in the film in an amount of about 1.4 to about 3 mg/cm<sup>2</sup>, and sulfonated polymer ion exchange resin is present in said film in an amount of about 1.4 to about 2 mg/cm<sup>2</sup>.

The antitussive pharmaceutically active agents that are suitable for use in these preparations are acidic, amphoteric or most often basic antitussives. Examples of basic pharmaceutically active agents useful in the present invention include, but are not limited to dextromethorphan, diphenhydramine, caramiphen, carbapentane, ethylmorphine, noscapine and codeine. In addition, the antitussive embodiments of the invention can further comprise additional agents that are therapeutically effective to treat conditions other than coughing. That is, more than one type of pharmaceutically active agent can be included in a film of the invention. For example, in the case of a film containing an antitussive agent, the film can further comprise an antihistamine, sympathomimetic pharmaceutically active agent (nasal decongestant,

bronchodilator), analgesic, antiinflammatory, cough suppressant and/or expectorant. Compounds which are antihistamines, sympathomimetic pharmaceutically active agents (nasal decongestant, bronchodilator), analgesic, antiinflammatory, cough suppressants and/or expectorants are well known to those of skill in the art and need not be discussed in detail herein.

In embodiments, a certain percentage of the films disclosed herein will contain non-coated pharmaceutically active agent/resin complexes. The remaining pharmaceutically active agent/resin complexes are further characterized by the presence of a coating. In the preferred embodiment of the present invention, about 20 to about 80% of the pharmaceutically active agent/resin complexes in the sustained-release compositions are coated, most preferably about 40 to about 60% of the pharmaceutically active agent/resin complexes. The coating is a water-permeable, diffusion barrier coating material. The presence of a coating allows one to selectively modify the dissolution profile as desired of a pharmaceutical composition comprising the pharmaceutically active agent/resin complexes of the present invention.

The coating materials can in general be any of a large number of conventional natural or synthetic film-forming materials used singly, in admixture with each other, and in admixture with plasticizers, pigments, etc. with diffusion barrier properties and with no inherent pharmacological or toxic properties. In general, the major components of the coating should be insoluble in water, and permeable to water and pharmaceutically active agent. However, it might be desirable to incorporate a water-soluble substance, such as methyl cellulose, to alter the permeability of the coating, or to incorporate an acid-insoluble, base-soluble substance to act as an enteric coating. The coating materials may be applied as a suspension in an aqueous fluid or as a solution in organic solvents. Suitable examples of such coating materials are described by R. C. Rowe in Materials used in Pharmaceutical Formulation. (A. T. Florence,

editor), Blackwell Scientific Publications, Oxford, 1-36(1984), incorporated by reference herein. Preferably the water-permeable diffusion barrier is selected from the group consisting of ethyl cellulose, methyl cellulose and mixtures thereof. Most preferably, the coating material is SURELEASE, manufactured by Colorcon which is water based ethyl cellulose latex, plasticized with dibutyl sebacate or with vegetable oils. Other non-limiting coating materials included within the scope of the present invention are AQUACOAT, manufactured by FMC Corporation of Philadelphia, which is ethylcellulose pseudolatex; solvent based ethylcellulose; shellac; zein; rosin esters; cellulose acetate; EUDRAGITS, manufactured by Rohm and Haas of Philadelphia, which are acrylic resins; silicone elastomers; poly(vinyl chloride) methyl cellulose; and hydroxypropylmethyl cellulose.

Conventional coating solvents and coating procedures (such as fluid bed coating and spray coating) can be employed to coat the particles. Techniques of fluid bed coating are taught, for example, in U.S. Patents Nos. 3,089,824, 3,117,027, and 3,253,944. The coating is normally applied to the pharmaceutically active agent/resin complex, but alternatively can be applied to the resin before complexing with the pharmaceutically active agent.

Non-limiting examples of coating solvents include ethanol, a methylene chloride/acetone mixture, coating emulsions, methyl acetone, tetrahydrofuran, carbon tetrachloride, methyl ethyl ketone, ethylene dichloride, trichloroethylene, hexane, methyl alcohol, isopropyl alcohol, methyl isobutyl ketone, toluene, 2-nitropropane, xylene, isobutyl alcohol, n-butyl acetate.

It is preferred that the coated pharmaceutically active agent/resin complexes are coated in the range from about 40 to about 70% w/w pharmaceutically active agent/resin complex. More preferably, the pharmaceutically active agent/resin complex is coated in the range from about 45 to about 55% w/w pharmaceutically active agent/resin complex. Most



preferably, the pharmaceutically active agent/resin complex is coated about 50% w/w pharmaceutically active agent/resin complex. Variation in the amount of coating and/or the use of coated/uncoated complex mixtures can be employed to selectively modify the dissolution profile as desired.

5           The average particle sizes of the non-hydrated coated and uncoated pharmaceutically active agent/resin complexes is about 60 to about 200 and about 60 to about 250 micrometers, respectively. More preferably, average particle sizes of the coated pharmaceutically active agent/resin complexes is between about 70 and about 190 micrometers, and most preferably about 70 to  
10       about 180 micrometers. More preferably, average particle sizes of the uncoated pharmaceutically active agent/resin complexes is between about 55 and about 160 micrometers, and most preferably about 60 to about 150 micrometers. It is desirable that about 85%, preferably about 95%, and most preferably about 98% of the resin particles have sizes within the ranges set forth above.

15       Adjustments within these ranges can be made to accommodate desired aesthetic qualities of the final formulation product. It is more preferable that the resin dextromethorphan complex have particle sizes within these ranges as well.

20           In embodiments, it is possible to hydrate the film-forming ingredients and combine all of the ingredients without heating. This method comprises dissolving the water-soluble ingredients in water to form an aqueous mixture; mixing the film-forming ingredients in powder form to form a powder mixture; adding the powder mixture to the aqueous mixture to form a hydrated polymer gel; stirring the hydrated polymer at room temperature for about 30 minutes to  
25       about 48 hours; mixing the cooling agent, menthol and any other oils to form an oil mixture; adding the oil mixture to the hydrated polymer gel and mixing until uniform; deaerating the film until air bubbles are removed, casting the uniform mixture on a suitable substrate; and drying the cast mixture to form a

film. This method hydrates the film-forming ingredients without heating the water, which can reduce energy costs in the manufacturing process and undesirable losses of volatile ingredients to evaporation. Further, mixing the oils in two steps minimizes the amount of flavor lost.

5           While not wishing to be bound by any theories, it is believed that the film-forming ingredients can be hydrated and mixed without heating due to an ionic effect known as the Donnan equilibrium. Hydrating the film-forming agents in the presence of electrolytes in solution effectively lowers the viscosity of the polymer gel being formed, thus increasing the efficiency of the hydrating process. The water-soluble ingredients of the formulation provide the electrolytes, which are dissolved in the hydration solution prior to addition of the film-forming ingredients. High-shear mixing also accelerates hydration, which delumps the powders, providing greater surface area for water contact. In addition, local heating effects, generated in the shear regions, provide energy for hydration without substantially raising the temperature of the mass.

#### Examples

The invention will be illustrated in more detail with reference to the following Examples, but it should be understood that the present invention is not deemed to be limited thereto.

#### Example 1

The ingredients listed in Table 1 were combined to provide a comparative example of an antitussive film in accordance with the following procedure:

25           A.     The water was heated to 50°C. The potassium sorbate and sweeteners were dissolved in the water with mixing. The titanium dioxide was then added with further mixing to form Preparation A.

          B.     The film-forming ingredients (e.g., xanthan gum, locust bean gum, carrageenan and pullulan) were mixed in a separate container to form

Preparation B.

C. Preparation B was slowly added to Preparation A with rapid mixing, followed by overnight mixing at a reduced rate to provide Preparation C.

5 D. The glycerin and olive oil were combined in a separate container and then the menthol and monoammonium glycyrrhizinate (MAG) were dissolved therein by heating to 45°C to form Preparation D.

E. Preparation D was added to Preparation C with thorough mixing and then the flavor agents were added with continued mixing to provide  
10 Preparation E.

F. Dextromethorphan coated with ethyl cellulose was then added to Preparation E with mixing. The pH was adjusted as necessary to 6.0 using 10% citric acid solution to provide Preparation F (Examples 1-3 only).

Preparation F was poured on a mold and cast to form a film of a desired  
15 thickness at room temperature. The film was dried under warm air and cut to a desired dimension (dictated by, e.g., dosage and mouthfeel) for taste testing. The film was segmented into 1" x 1.25" (2.54 cm x 3.18 cm) dosage units, each of which had a thickness of 0.009±0.002 in (0.23±0.05 mm) and a weight of 70±3 mg.

20 A placebo film was also prepared in accordance with the foregoing to facilitate evaluation of, e.g., the taste and appearance of the active film.

Table 1

Material	% w/w in batch	g/batch	%w/w*	mg/dose*	%w/w* active film	% w/w actual batch
Coated Dextromethorphan (55% DM)		103.6291		27.3000	29.5775	9.3899
Xanthan Gum	0.0600	0.6000	0.2432	0.1581	0.1713	0.0544
Locust Bean Gum	0.0700	0.7000	0.2837	0.1844	0.1998	0.0634
Carrageenan	0.3000	3.0000	1.2159	0.7903	0.8563	0.2718
Pullulan	16.0000	160.0000	64.8466	42.1503	45.6666	14.4976
Potassium Sorbate	0.0600	0.6000	0.2432	0.1581	0.1713	0.0544
Acesulfame Potassium Salt	0.5000	5.0000	2.0265	1.3172	1.4271	0.4531
Aspartame NF	1.4000	14.0000	5.6741	3.6882	3.9958	1.2685
Purified Water	75.3264	753.2640				68.2534
Physcool	0.1000	1.0000	0.4053	0.2634	0.2854	0.0906
Menthol	1.0000	10.0000	4.0529	2.6344	2.8542	0.9061
Citric Acid	0.0710	0.7100	0.2878	0.1870	0.2026	0.0643
Cherry Flavor (Givudan)	0.1500	1.5000	0.6079	0.3952	0.4281	0.1359
Peppermint Flavor	0.5000	5.0000	2.0265	1.3172	1.4271	0.4531
Mono ammonium glycyrrhizinate (MAG)	0.0100	0.1000	0.0405	0.0263	0.0285	0.0091
Polysorbate 80 NF	0.3500	3.5000	1.4185	0.9220	0.9990	0.3171
Atmos 300	0.3500	3.5000	1.4185	0.9220	0.9990	0.3171
Glycerine	3.0000	30.0000	12.1587	7.9032	8.5625	2.7183
Olive Oil	0.5000	5.0000	2.0265	1.3172	1.4271	0.4531
FD&C green #3	0.0026	0.0260	0.0105	0.0068	0.0074	0.0024
Titanium Dioxide	0.2500	2.5000	1.0132	0.6586	0.7135	0.2265
Total w/o active		0.0000	100.0000	65.0000		
Total with active	100.0000	1103.6291		92.3000	100.0000	100.0000
* assuming that all water is evaporated						

The active film was gritty and bitter.

## Example 2

Comparative films having the ingredients listed in Table 2 were prepared in accordance with the method of Example 1.

Table 2

Material	%w/w in batch	g/batch	%w/w* placebo film	mg/dose*	%w/w* active film	% w/w actual batch
Coated Dextromethorphan (53.5% DM)		106.4239		28.0374	30.1356	9.6187
Xanthan Gum	0.0600	0.6000	0.2432	0.1581	0.1699	0.0542
Locust Bean Gum	0.0700	0.7000	0.2837	0.1844	0.1982	0.0633
Carrageenan	0.3000	3.0000	1.2159	0.7904	0.8495	0.2711
Pullulan	16.0000	160.0000	64.8493	42.1520	45.3065	14.4610
Potassium Sorbate	0.0600	0.6000	0.2432	0.1581	0.1699	0.0542
Acesulfame Potassium Salt	0.5000	5.0000	2.0265	1.3173	1.4158	0.4519
Aspartame NF	1.4000	14.0000	5.6743	3.6883	3.9643	1.2653
Purified Water	75.3274	753.2740				68.0819
Physcool	0.1000	1.0000	0.4053	0.2635	0.2832	0.0904
Menthol	1.0000	10.0000	4.0531	2.6345	2.8317	0.9038
Citric Acid (used to adjust pH to 6.0)	0.0700	0.7000	0.2837	0.1844	0.1982	0.0633
Cherry Flavor (Givudan)	0.1500	1.5000	0.6080	0.3952	0.4247	0.1356
Peppermint Flavor	0.5000	5.0000	2.0265	1.3173	1.4158	0.4519
Mono ammonium glycyrrhizinate (MAG)	0.0100	0.1000	0.0405	0.0263	0.0283	0.0090
Polysorbate 80 NF	0.3500	3.5000	1.4186	0.9221	0.9911	0.3163
Atmos 300	0.3500	3.5000	1.4186	0.9221	0.9911	0.3163
Glycerine	3.0000	30.0000	12.1592	7.9035	8.4950	2.7114
Olive Oil	0.5000	5.0000	2.0265	1.3173	1.4158	0.4519
FD&C Green #3	0.0026	0.0260	0.0105	0.0069	0.0074	0.0024
Titanium Dioxide	0.2500	2.5000	1.0133	0.6586	0.7079	0.2260
Total w/o active		0.0000	100.0000	65.0000		
Total with active	100.0000	1106.4239		93.0374	100.0000	100.0000
* assuming that all water is evaporated						

The active film was gritty and bitter.

### Example 3

Comparative films having the ingredients listed in Table 3 were prepared in accordance with the method of Example 1.

Table 3

Material	%w/w in batch	g/batch	%w/w* placebo film	mg/dose*	%w/w* active film	% w/w actual batch
Coated Dextromethorphan (60% DM)		94.7292		25.0000	27.7778	8.6532
Xanthan Gum	0.0600	0.6000	0.2436	0.1583	0.1759	0.0548
Locust Bean Gum	0.0700	0.7000	0.2842	0.1847	0.2053	0.0639
Carrageenan	0.3000	3.0000	1.2180	0.7917	0.8797	0.2740
Pullulan	16.0000	160.0000	64.9625	42.2256	46.9174	14.6155
Potassium Sorbate	0.0600	0.6000	0.2436	0.1583	0.1759	0.0548
Accesulfame Potassium Salt	0.5000	5.0000	2.0301	1.3196	1.4662	0.4567
Aspartame NF	1.4000	14.0000	5.6842	3.6947	4.1053	1.2789
Purified Water	75.3704	753.7040				68.8484
Physcool	0.1000	1.0000	0.4060	0.2639	0.2932	0.0913
Menthol	1.0000	10.0000	4.0602	2.6391	2.9323	0.9135
Citric Acid	0.0270	0.2700	0.1096	0.0713	0.0792	0.0247
Cherry Flavor (Givudan)	0.1500	1.5000	0.6090	0.3959	0.4399	0.1370
Peppermint Flavor	0.5000	5.0000	2.0301	1.3196	1.4662	0.4567
Mono ammonium glycyrrhizinate (MAG)	0.0100	0.1000	0.0406	0.0264	0.0293	0.0091
Polysorbate 80 NF	0.3500	3.5000	1.4211	0.9237	1.0263	0.3197
Atmos 300	0.3500	3.5000	1.4211	0.9237	1.0263	0.3197
Glycerine	3.0000	30.0000	12.1805	7.9173	8.7970	2.7404
Olive Oil	0.5000	5.0000	2.0301	1.3196	1.4662	0.4567
FD&C green #3	0.0026	0.0260	0.0106	0.0069	0.0076	0.0024
Titanium Dioxide	0.2500	2.5000	1.0150	0.6598	0.7331	0.2284
Total w/o active		0.0000	100.0000	65.0000		
Total with active	100.0000	1094.7292		90.0000	100.0000	100.0000
* assuming that all water is evaporated						



Table 4

Material	%w/w in batch	g/batch	%w/w* placebo film	mg/dose*	%w/w* active film	% w/w actual batch
Dextromethorphan		17.0326		15.0000	15.7563	5.0951
Amberlite IRP69		17.2597		15.2000	15.9664	5.1630
Xanthan Gum	0.0600	0.1800	0.2439	0.1585	0.1665	0.0538
Locust Bean Gum	0.0700	0.2100	0.2845	0.1849	0.1943	0.0628
Carrageenan	0.3000	0.9000	1.2194	0.7926	0.8326	0.2692
Pullulan	16.0000	48.0000	65.0338	42.2720	44.4033	14.3587
Potassium Sorbate	0.0600	0.1800	0.2439	0.1585	0.1665	0.0538
Acesulfame Potassium Salt	0.5000	1.5000	2.0323	1.3210	1.3876	0.4487
Aspartame NF	1.4000	4.2000	5.6905	3.6988	3.8853	1.2564
Purified Water	75.3974	226.1922				67.6630
Physcool	0.1000	0.3000	0.4065	0.2642	0.2775	0.0897
Menthol	1.0000	3.0000	4.0646	2.6420	2.7752	0.8974
Citric Acid	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Cherry Flavor (Givudan)	0.1500	0.4500	0.6097	0.3963	0.4163	0.1346
Peppermint Flavor	0.5000	1.5000	2.0323	1.3210	1.3876	0.4487
Mono ammonium glycyrrhizinate (MAG)	0.0100	0.0300	0.0406	0.0264	0.0278	0.0090
Polysorbate 80 NF	0.3500	1.0500	1.4226	0.9247	0.9713	0.3141
Atmos 300	0.3500	1.0500	1.4226	0.9247	0.9713	0.3141
Glycerine	3.0000	9.0000	12.1938	7.9260	8.3256	2.6923
Olive Oil	0.5000	1.5000	2.0323	1.3210	1.3876	0.4487
FD&C green #3	0.0026	0.0078	0.0106	0.0069	0.0072	0.0023
Titanium Dioxide	0.2500	0.7500	1.0162	0.6605	0.6938	0.2244
Total w/o active		300.0000	100.0000	65.0000		
Total with active	100.0000	334.2922		95.2000	100.0000	100.0000
* assuming that all water is evaporated						

The active film had a pleasing appearance and taste.



The ingredients listed in Table 5 were combined to provide an example of an antitussive film of the invention in accordance with the following procedure:

A. The water was heated to 75°C. Uncoated dextromethorphan hydrobromide was dissolved with mixing in the water, while maintaining the temperature at 75°C. AMBERLITE resin was then mixed into the water with heating for 4 to 5 hours at 70-80°C. Heating was stopped, water lost to evaporation was replaced, and the potassium sorbate and sweeteners were then added to the composition with mixing to form Preparation A.

B. The film-forming ingredients (e.g., xanthan gum, locust bean gum, carrageenan and pullulan) were mixed in a separate container to form Preparation B.

C. Preparation B was slowly added to Preparation A with rapid mixing, followed by overnight mixing at a reduced rate to provide Preparation C.

D. The menthol was dissolved with mixing in the alcohol in a separate container. The Physcool was then dissolved with mixing therein. The MAG, Polysorbate 80, Atmos 300 and flavors were then added to the mixture and mixed to enhanced uniformity to form Preparation D.

E. Preparation D, glycerine and mannitol were added to Preparation C with thorough mixing to provide Preparation E.

Preparation E was poured on a mold and cast to form a film of a desired thickness at room temperature. The film was dried under warm air and cut to a desired dimension (dictated by, e.g., dosage and mouthfeel) for taste testing. The film was segmented into 1.5 in<sup>2</sup> (9.7 cm<sup>2</sup>) dosage units, each of which had a thickness of 0.009±0.002 in (0.23±0.05 mm) and a weight of 70±3 mg.

A placebo film was also prepared in accordance with the foregoing to facilitate evaluation of, e.g., the taste and appearance of the active film.

Table 5

Material	%w/w in batch	g/batch	mg/dose*	%w/w* film	% w/w actual batch
Dextromethorphan HBr		11.4615	15.0000	21.4286	9.2666
Amberlite IRP69		12.2256	16.0000	22.8571	9.8843
Xanthan Gum	0.0600	0.0600	0.0944	0.1348	0.0485
Locust Bean Gum	0.0700	0.0700	0.1101	0.1573	0.0566
Carrageenan	0.3000	0.3000	0.4718	0.6740	0.2425
Pullulan	16.0000	16.0000	25.1613	35.9447	12.9359
Potassium Sorbate	0.0600	0.0600	0.0944	0.1348	0.0485
Acesulfame Potassium Salt	0.5000	0.5000	0.7863	1.1233	0.4042
Aspartame NF	1.4000	1.4000	2.2016	3.1452	1.1319
Purified Water	70.2000	70.2000			56.7561
Alcohol USP	5.0000	5.0000			4.0425
Physcool	0.1000	0.1000	0.1573	0.2247	0.0808
Menthol	1.5000	1.5000	2.3589	3.3698	1.2127
Peppermint Flavor	0.1000	0.1000	0.1573	0.2247	0.0808
Raspberry Flavor (Givudan)	0.5000	0.5000	0.7863	1.1233	0.4042
Mono ammonium glycyrrhizinate (MAG)	0.0100	0.0100	0.0157	0.0225	0.0081
Polysorbate 80 NF	0.3500	0.3500	0.5504	0.7863	0.2830
Atmos 300	0.3500	0.3500	0.5504	0.7863	0.2830
Glycerine	1.5000	1.5000	2.3589	3.3698	1.2127
Mannitol USP	2.0000	2.0000	3.1452	4.4931	1.6170
Total w/o active		100.0000	39.0000		

The active film had a pleasing appearance and taste.

#### Example 6

5           Films of the invention having the ingredients listed in Table 6 were prepared in accordance with the method of Example 5.

Table 6

Material	%w/w in batch	g/batch	mg/dose*	%w/w*	%w/w
Dextromethorphan HBr		11.6538	15.0000	21.4286	9.3919
Amberlite IRP69		12.4308	16.0000	22.8571	10.0180
Xanthan Gum	0.0600	0.0600	0.0925	0.1321	0.0484
Locust Bean Gum	0.0700	0.0700	0.1079	0.1542	0.0564
Carrageenan	0.3000	0.3000	0.4625	0.6606	0.2418
Pullulan	16.0000	16.0000	24.6640	35.2343	12.8944
Potassium Sorbate	0.0600	0.0600	0.0925	0.1321	0.0484
Acesulfame Potassium Salt	0.5000	0.5000	0.7708	1.1011	0.4030
Aspartame NF	1.4000	1.4000	2.1581	3.0830	1.1283
Purified Water	69.7000	69.7000			56.1713
Alcohol USP	5.0000	5.0000			4.0295
Physcool	0.1000	0.1000	0.1542	0.2202	0.0806
Menthol	2.0000	2.0000	3.0830	4.4043	1.6118
Peppermint Flavor	0.1000	0.1000	0.1542	0.2202	0.0806
Raspberry Flavor (Givudan)	0.5000	0.5000	0.7708	1.1011	0.4030
Mono ammonium glycyrrhizinate (MAG)	0.0100	0.0100	0.0154	0.0220	0.0081
Polysorbate 80 NF	0.3500	0.3500	0.5395	0.7708	0.2821
Atmos 300	0.3500	0.3500	0.5395	0.7708	0.2821
Glycerine	1.5000	1.5000	2.3123	3.3032	1.2089
Mannitol USP	2.0000	2.0000	3.0830	4.4043	1.6118
Total w/o active		0.0000	39.0000		
Total with active	100.0000	124.0846	70.0000	100.0000	100.0000
* assuming that all water and alcohol is evaporated					

The active film had a pleasing appearance and taste.

## 5 Example 7

A film of the invention having the ingredients listed in Table 7 were

prepared in accordance with the method of Example 5. The film was segmented into 1" x 1.25" (2.54 cm x 3.18 cm) dosage units, each of which had a thickness of 0.009±0.002 in (0.23±0.05 mm) and a weight of 63.6±3 mg.

Table 7

Material	%w/w in batch	kg/batch	mg/dose*	%w/w*	%w/w
Dextromethorphan HBr		1.3567	15.0000	23.5981	9.3918
Amberlite IRP69		1.4472	16.0000	25.1713	10.0180
Xanthan Gum	0.0600	0.0070	0.0772	0.1215	0.0484
Locust Bean Gum	0.0700	0.0081	0.0901	0.1417	0.0564
Carrageenan	0.3000	0.0349	0.3661	0.6075	0.2418
Pullulan	16.0000	1.8627	20.5941	32.3988	12.8944
Potassium Sorbate	0.0600	0.0070	0.0772	0.1215	0.0484
Acesulfame Potassium Salt	0.5000	0.0582	0.6436	1.0125	0.4030
Aspartame NF	1.4000	0.1630	1.8020	2.8349	1.1283
Purified Water	69.7000	8.1145			56.1714
Alcohol USP	5.0000	0.5821			4.0295
Physcool	0.1000	0.0116	0.1287	0.2025	0.0806
Menthol	2.0000	0.2328	2.5743	4.0498	1.6118
Peppermint Flavor	0.1000	0.0116	0.1287	0.2025	0.0806
Raspberry Flavor (Givudan)	0.5000	0.0582	0.6436	1.0125	0.4030
Mono ammonium glycyrrhizinate (MAG)	0.0100	0.0012	0.0129	0.0202	0.0081
Polysorbate 80 NF	0.3500	0.0407	0.4505	0.7087	0.2821
Atmos 300	0.3500	0.0407	0.4505	0.7087	0.2821
Glycerine	1.5000	0.1746	1.9307	3.0374	1.2089
Mannitol USP	2.0000	0.2328	2.5743	4.0498	1.6118
Total w/o active + resin		11.6420	32.5644		
Total with active + resin	100.0000	14.4459	63.5644	100.0000	100.0000
* assuming that all water and alcohol is evaporated					

The active film had a pleasing appearance and taste.



## CLAIMS

### WHAT IS CLAIMED IS:

1. A consumable film adapted to adhere to and dissolve in a mouth of a consumer, wherein said film comprises at least one water soluble polymer,  
5 at least one pharmaceutically active agent and at least one taste masking agent.

2. The consumable film according to claim 1, wherein said at least one water soluble polymer is a member selected from the group consisting of pullulan, hydroxypropylmethyl cellulose, hydroxyethyl cellulose, hydroxypropyl cellulose, polyvinyl pyrrolidone, carboxymethyl cellulose,  
10 polyvinyl alcohol, sodium alginate, polyethylene glycol, tragacanth gum, guar gum, acacia gum, arabic gum, polyacrylic acid, methylmethacrylate copolymer, carboxyvinyl polymer, amylose, high amylose starch, hydroxypropylated high amylose starch, dextrin, pectin, chitin, chitosan, levan, elsinan, collagen, gelatin, zein, gluten, soy protein isolate, whey protein isolate, casein and  
15 mixtures thereof.

3. The consumable film according to claim 2, wherein said at least one water soluble polymer is pullulan.

4. The consumable film according to claim 1, wherein said at least one pharmaceutically active agent is a member selected from the group  
20 consisting of antimicrobial agents, non-steroidal anti-inflammatory agents, antitussives, decongestants, anti-histamines, expectorants, anti-diaherals, H<sub>2</sub>-antagonists, proton pump inhibitors, central nervous system agents, analgesics and mixtures thereof.

5. The consumable film according to claim 4, wherein the  
25 antimicrobial agent is a member selected from the group consisting of triclosan, cetyl pyridium chloride, domiphen bromide, quaternary ammonium salts, zinc compounds, sanguinarine, fluorides, alexidine, octonidine, EDTA and mixtures thereof.

6. The consumable film according to claim 4, wherein the non-steroidal anti-inflammatory agent is a member selected from the group consisting of aspirin, acetaminophen, ibuprofen, diflunisal, fenoprofen calcium, naproxen, tolmetin sodium, indomethacin, and mixtures thereof.

5 7. The consumable film according to claim 4, wherein the antitussive is a member selected from the group consisting of benzonatate, caramiphen edisylate, dextromethorphan, chlorphedianol, diphenhydramine, salts thereof and mixtures thereof.

8. The consumable film according to claim 4, wherein the  
10 decongestant is selected from the group consisting of pseudoephedrine, phenylephrine, phenylpropanolamine, salts thereof and mixtures thereof.

9. The consumable film according to claim 4, wherein the anti-histamine is selected from the group consisting of brompheniramine maleate, chlorpheniramine maleate, carbinoxamine maleate, clemastine fumarate,  
15 dexchlorpheniramine maleate, diphenhydramine hydrochloride, diphenhydramine citrate, diphenylpyraline hydrochloride, doxylamine succinate, promethazine hydrochloride, pyrilamine maleate, tripeleennamine citrate, triprolidine hydrochloride and mixtures thereof.

10. The consumable film according to claim 4, wherein the  
20 expectorant is selected from the group consisting of guaifenesin, ipecac, potassium iodide, terpin hydrate and mixtures thereof.

11. The consumable film according to claim 4, wherein the anti-diarrheal is loperamide.

12. The consumable film according to claim 4, wherein the  
25 H<sub>2</sub>-antagonist is selected from the group consisting of famotidine, ranitidine and mixtures thereof.

13. The consumable film according to claim 4, wherein the proton pump inhibitor is selected from the group consisting of omeprazole,

lansoprazole, and mixtures thereof.

14. The consumable film according to claim 1, wherein the at least one taste masking agent is an ion exchange resin.

15. The consumable film according to claim 14, wherein the ion exchange resin is a sulfonated polymer comprising polystyrene cross-linked with divinylbenzene.

16. The consumable film according to claim 14, wherein the ion exchange resin is a sulfonated polymer comprising polystyrene cross-linked with 8% of divinylbenzene, with an ion exchange capacity of about 4.5 to 5.5 meq/g of dry resin ( $H^+$ -form).

17. The consumable film according to claim 16, wherein the ion exchange resin has irregularly-shaped particles ranging in size from about 47 to about 149 micrometers.

18. The consumable film according to claim 16, wherein the ion exchange resin has spherical particles ranging in size from about 45 to about 150 micrometers.

19. The consumable film according to claim 14, wherein the ion exchange resin is a polymer composed of polystyrene cross-linked with 8% of divinylbenzene and functionalized with a quaternary ammonium group, and wherein an exchange capacity of said ion exchange resin is normally within a range of about 3 to about 4 meq/g of dry ion exchange resin.

20. The consumable film according to claim 1, wherein the at least one taste masking agent is magnesium trisilicate.

21. The consumable film according to claim 1, wherein said at least one water soluble polymer is pullulan, said at least one pharmaceutically active agent is dextromethorphan, and said at least one taste masking agent is a sulfonated polymer ion exchange resin comprising polystyrene cross-linked with divinylbenzene.



22. The consumable film according to claim 21, wherein said pullulan is present in an amount of about 40 to about 80 wt% of said film, said dextromethorphan is present in an amount of about 5 to about 40 wt% of said film, said sulfonated polymer ion exchange resin is present in an amount of about 5 to about 40 wt% of said film, and a ratio of said dextromethorphan to said sulfonated polymer ion exchange resin is 1:3 to 3:1.

23. The consumable film according to claim 22, wherein said pullulan is present in said film in an amount of about 2 to about 6 mg/cm<sup>2</sup>, said dextromethorphan is present in said film in an amount of about 1.4 to about 2 mg/cm<sup>2</sup>, and said sulfonated polymer ion exchange resin is present in said film in an amount of about 1.4 to about 2 mg/cm<sup>2</sup>.

24. The consumable film according to claim 22, further comprising:  
about 0.01 to about 5 wt% of at least one stabilizing agent;  
about 0.001 to about 0.1 wt% of at least one of at least one coloring agent;

about 0.1 to about 70 wt% of water;  
about 0.1 to about 15 wt% of at least one sweetening agent;  
about 0.1 to about 15 wt% of at least one flavoring agent;  
about 0.1 to about 4 wt% of at least one cooling agent;  
about 0.1 to about 5 wt% of at least one surfactant;  
about 0.1 to about 12 wt% of a triglyceride;  
about 0.001 to about 5 wt% of a preservative;  
about 0.1 to about 5 wt% of a polyethylene oxide compound; and  
about 1 to about 20 wt% of propylene glycol.

25. A method for preparing the consumable film of claim 1, said method comprising:

dissolving water-soluble ingredients in water to provide an aqueous solution;

mixing at least one water soluble film former and at least one stabilizing agent to provide a film-forming mixture;

combining said film-forming mixture and said aqueous solution to provide a hydrated polymer gel;

5 mixing oils to form an oil mixture;

adding said oil mixture to said hydrated polymer gel and mixing to provide a uniform gel;

casting the uniform gel on a substrate; and

drying the cast gel to provide said film.

10 26. The method of claim 25, wherein said at least one pharmaceutically active agent and said at least one taste masking agent are incorporated into said aqueous solution or into said uniform gel.

27. The method of claim 25, wherein said at least one taste masking agent is an ion exchange resin, and said at least one pharmaceutically active agent is sorbed to said ion exchange resin without separating ion exchanged  
15 pharmaceutically active agent from unexchanged agent and counter ion salts.

### ABSTRACT OF THE DISCLOSURE

Physiologically acceptable films, including edible films, are disclosed. The films include a water soluble film-forming polymer, such as pullulan, and a taste masked pharmaceutically active agent, such as dextromethorphan. The  
5 taste masking agent is preferably a sulfonated polymer ion exchange resin comprising polystyrene cross-linked with divinylbenzene, such as AMBERLITE. Methods for producing the films are also disclosed.